

MATRIX RIGIDITY

RIGIDITY OF HANKEL MATRICES,
RIGIDITY IN SUB-EXPONENTIAL TIME

Sasha Golovnev

October 7, 2020

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 - This lecture: $\mathcal{R}(r) \geq \frac{n^3}{\overline{r^2 \log n}}$
- n random bits*

HANKEL MATRICES

$$\begin{pmatrix} a_1 & a_2 & \dots & a_n \\ a_2 & a_3 & \dots & a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_n & a_{n+1} & \dots & a_{2n-1} \end{pmatrix}$$

$2n-1$ distinct els

k -HANKEL MATRIX

$$\begin{pmatrix} a_1 & a_2 & \dots & a_n \\ a_{k+1} & a_{k+2} & \dots & a_{k+n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{k(n-1)+1} & a_{k(n-1)+2} & \dots & a_{k(n-1)+n} \end{pmatrix}$$

each row has k new els

MAIN THEOREM

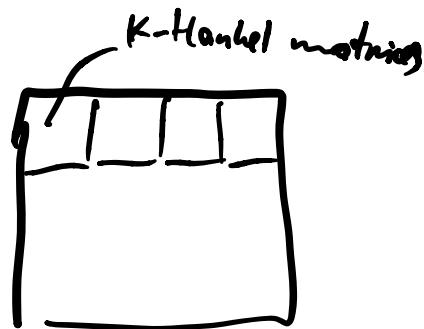
Theorem (GT16)

For any $\sqrt{n} \leq r \leq \frac{n}{32}$, a random Hankel matrix $A \in \mathbb{F}^{n \times n}$ has

$$\mathcal{R}_A^{\mathbb{F}_2}(r) \geq \Omega\left(\frac{n^3}{r^2 \log n}\right)$$

with probability $1 - o(1)$.

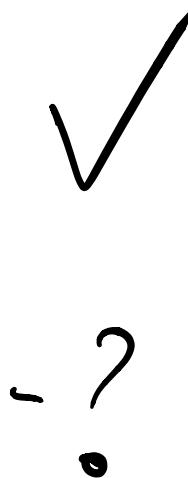
PROOF OUTLINE



Let k be a parameter and $m = \frac{n}{k}$.

Step I Any $n \times n$ Hankel matrix can be partitioned into $m \times m$ matrices each of which is k -Hankel.

Step II A random $m \times m$ k -Hankel matrix is $(m/2, \frac{km}{400 \log m})$ -rigid with probability $1 - 2^{-km/20}$.



Finished the proof

Step II. Rigidity of k -Hankel Matrices

k -HANKEL MATRICES ARE RIGID

Lemma

For any $16 \leq k \leq m$, a random $m \times m$ k -Hankel matrix B has rigidity

$$\mathcal{R}_B^{\mathbb{F}_2}(m/2) \geq \frac{km}{400 \log m}$$

with probability at least $1 - 2^{-km/20}$.

Lemma

For any $16 \leq k \leq m$, a random $m \times m$ k -Hankel matrix B has rigidity

$$\mathcal{R}_B^{\mathbb{F}_2}(m/2) \geq \frac{km}{400 \log m}$$

with probability at least $1 - 2^{-km/20}$. \checkmark

Fix a matrix $S \in \mathbb{F}^{m \times m}$

We'll show that

$$\Pr_B [\text{rk}(B+S) \leq \frac{m}{2}] \leq 2^{-km/10}$$

Use this to finish the proof.

Union bound over all s -sparse S

$$2^{-km/10} \cdot \left(\# \text{sparse } S \right) =$$

$$= 2^{-km/10} \cdot \binom{m^2}{\leq s}$$

$$\binom{n}{sm} \leq n^{2m}$$

$$\leq 2^{-km/10} \cdot m^{4s} = 2^{-km/10 + 4s \log m}$$

$$\leq 2^{-km/10} \cdot m^{4s} = 2^{-km/10 + km/100}$$

$$\leq 2^{-km/20}$$

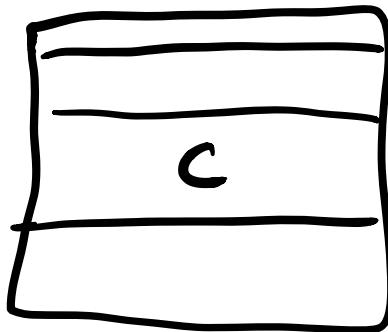
If remains to show that for a fixed

$$S \in \mathbb{F}_2^{m \times m}$$
$$\Pr_B [\text{rk}(B+S) \leq \frac{m}{2}] \leq 2^{-km/10} \quad \checkmark$$

$$C = B + S \in \mathbb{F}_2^{m \times n}$$

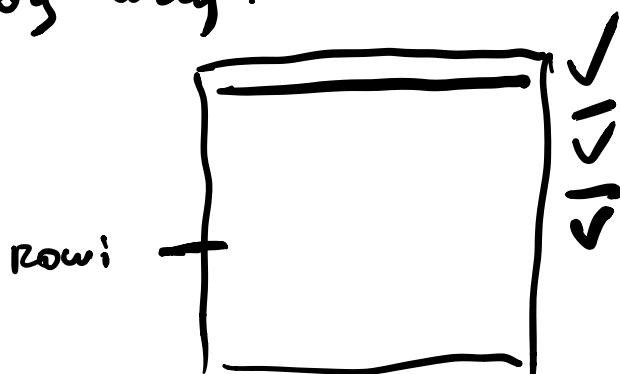
C_i - i^{th} row of C .

Assuming $\text{rk}(C) \leq \frac{m}{2}$



$\leq \frac{m}{2}$ rows
s.t. their
lin comb generate
all rows of C .

Let me choose row basis of C in a
greedy way:



$I \subseteq [m]$ - the set of rows I
greedily pick for basis.

$\forall i \in [m]$
 \checkmark (i) either $i \in I$
 (ii) or $C_i \in \text{span}(\{C_{i,j}\}_{j \in [i-1] \cap I})$

We're bounding

$$\Pr_R [Rk(C) \leq \frac{m}{2}] = \\ \Pr_B [\exists I \subseteq [m], |I| \leq \frac{m}{2} : \forall i \in [m] \setminus I : \\ C_i \in \text{span}(\{C_{i,j}\}_{j \in [i-1] \cap I})]$$

(*) Fix $I \subseteq [m]$
 We'll prove $\forall I \subseteq [m], |I| \leq \frac{m}{2}$,

$$\Pr_B [\forall i \in [m] \setminus I : C_i \in \text{span}(\{C_{i,j}\}_{j \in [i-1] \cap I})] \\ \leq 2^{-km/8} \quad (*)$$

Union bound over all $I \subseteq [m], |I| \leq \frac{m}{2}$

$${m \choose \leq \frac{m}{2}} \leq 2^m \quad (\text{Because } k \geq 16)$$

$$2^{-km/8} \cdot 2^m \leq 2^{-km/10}$$

It remains to show
Fix matrix S , Fix $I, |I| \leq \frac{m}{2}$

$$\Pr_B \left[\forall i \in [m] \setminus I : C_i \in \text{Span} \left(\{C_j\}_{j \in [m] \setminus I} \right) \right] \leq 2^{-km/8} \quad (*)$$

Choose rows indices

$$1 \leq i_1 < i_2 < \dots < i_\ell \leq m \text{ s.t.}$$

$$(i) i_t \notin I \quad \checkmark$$

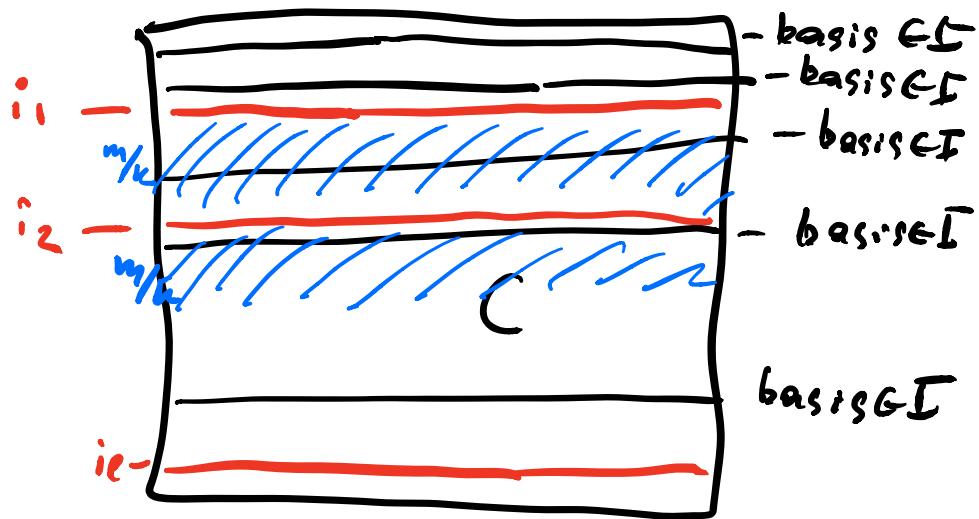
$$(ii) i_t - i_{t-1} \geq \frac{m}{k} \quad \checkmark$$

recall in k -Hankel matrix, every row has k new els.

so after $\frac{m}{k}$ rows, you have all (m) new els.

$\sqrt{b_1}$	b_2	b_3	b_4	$m \times m$
b_3	b_4	b_5	b_6	$m=4$
b_5	b_6	b_7	b_8	k -Hankel
Completely independent b_7	b_8	b_9	b_{10}	$k=2$

Choose in a greedy way
 i_1, \dots, i_ℓ



Non-basis rows $[m] \setminus I$
 at least $m/2$ of those
 $m/2 / \lceil m_k \rceil \geq k_4$ rows
 $\ell \geq k_4 \checkmark$

$t \in [\ell]$ for red rows only
 event $E_t =$ the now i_t is spanned
 by $\{C_{it}\}_{i \in [t]} \wedge I$

$$(*) \leq \Pr[E_1, E_2, \dots, E_\ell]$$

$$= \Pr[E_1] \cdot \Pr[E_2 | E_1] \cdot \Pr[E_3 | E_1, E_2] \cdot \dots \cdot \Pr[E_\ell | E_1, \dots, E_{\ell-1}]$$

We'll show that

$$\Pr_B [E_i | E_1 \wedge \dots \wedge E_{i-1}] \leq 2^{-m/2}$$

$$(*) \leq (2^{-m/2})^{k_{\ell}} = 2^{-mk/8}$$

which will finish the proof.

It remains to show that

$$\Pr_B [E_t | E_1 \wedge \dots \wedge E_{t-1}] \leq 2^{-m/2}$$

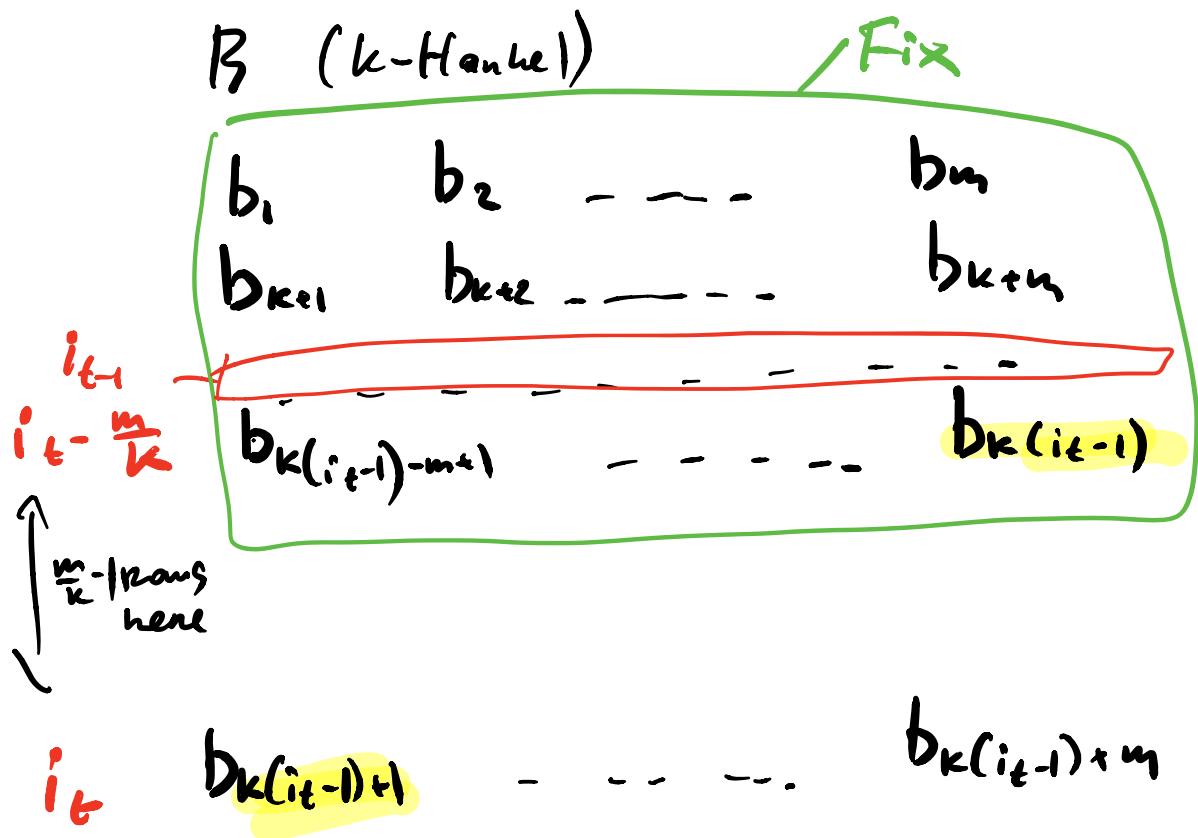
Instead of conditioning on this

$$\Pr_B [E_t | b_1, b_2, \dots, b_x \text{ s.t. } E_1 \dots E_{t-1} \text{ happen}]$$

we'll prove a stronger statement:

\forall values $b_1, \dots, b_{k(i_t-1)}$

$$\Pr_B [E_t | b_1, \dots, b_{k(i_t-1)}] \leq 2^{-m/2}$$



Once I fix the **green** part of the matrix, the events $E_1, E_2, E_3, \dots, E_{t-1}$ determined

$$\Pr[\mathcal{E}_t \mid b_1, \dots, b_{k(i_t-1)}] \leq 2^{-m/k}$$

\mathcal{B}
 (I only need to show $\Pr[\mathcal{E}_t \mid \underline{E_1, \dots, E_{t-1}}] \leq 2^{-\frac{m}{k}}$)

It remains to show that for any set $b_1 \dots b_{k(i_t-1)}$,

$$\Pr_B [E_t | b_1 \dots b_{k(i_t-1)}] \leq \underline{2^{-m/2}}$$

Assume that E_t holds:

C_{i_t} = linear comb of basis rows above it.

$$C_{i_t} = \sum_{i \in [i_t-1] \cap I} d_{ii} \cdot C_{ii}, \quad (d_{ii} \in \{0, 1\})$$

Fix all $d_{ii} \in \{0, 1\}$

$$\leq |I| \leq \frac{m}{2}$$

There are only $2^{m/2}$ ways to fix them

Union bound over all values of d_{ii}

$$\Pr_B [E_t \text{ for a fixed } d_{ii}] \leq 2^{-m}$$

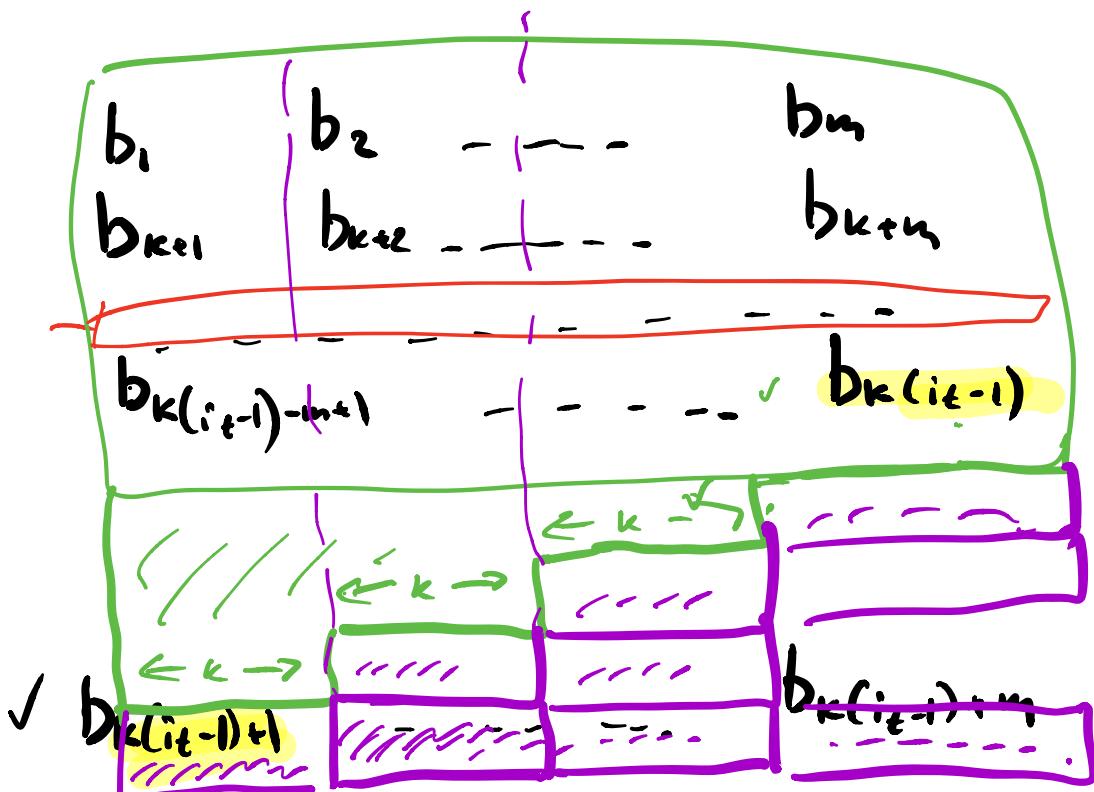
$$\text{By UB: } 2^{m/2} \cdot 2^{-m} \leq \underline{2^{-m/2}}$$

what we wanted.

Remains: $d_{ij} \in \{0, 1\}$ are fixed

$$C_{i,t} = \sum_{j \in [i_t-1] \wedge I} d_{ij} \cdot C_{j,t}$$

$$\Pr_R [E_t | \dots] \leq 2^{-m}$$



Unique assignment to the $m \in \{0, 1\}$ variables
in the row i_t that satisfies fixed
linear comb. $\Rightarrow \Pr [E_t | \dots] \leq 2^{-m}$

□

EXPLICITNESS

Theorem (GT16)

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with probability $1 - o(1)$.

Rigidity :

given $A \in \mathbb{F}_2^{n \times n}$

R, S
check whether $R_A^{\mathbb{F}_2}(R) \geq S$?

co-Rigidity

$$R_A^{\mathbb{F}_2}(R) < S$$

$$A = S + L.$$

co-Rigidity $\in NP$

given solution

$$S, L$$

it is easy check

$$1. A = S + L$$

$$2. \|S\|_0 \leq S$$

$$3. \text{rk}(L) \leq R$$

\Rightarrow co-Rigidity $\in NP$

\Rightarrow Rigidity $\in coNP$

polynomial

$$\underline{E^{\text{co-NP}} = E^{\text{NP}}}$$

somewhat rigid matrix in E^{NP} .

Brute force all assignments

to $b_1, \dots, b_{2n-1} \in \{0, 1\}^3$.

Time $2^{O(n)}$

For each b_1, \dots, b_{2n-1}

I construct Hankel matrix (b_1, \dots, b_{2n-1})

co-NP oracle to check if it's rigid.

If it's rigid \Rightarrow output it.

$$\underline{E^{\text{NP}}}$$

\exists somewhat rigid Hankel matrix.

In HW2, you'll prove that
this matrix is actually in E .