

# MATRIX RIGIDITY

RIGIDITY OF  $M(x, y) = f(x + y)$

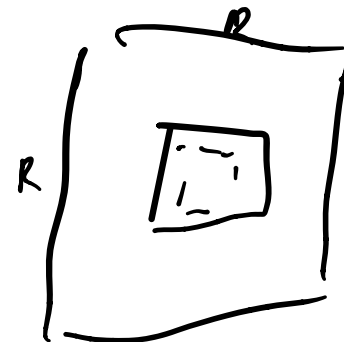
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November 9, 2020

# LIMITATIONS

- ✓ • Limits of Untouched Minor method



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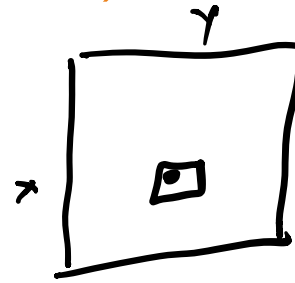
- Limits of Untouched Minor method
- Upper bound on rigidity of super regular matrices

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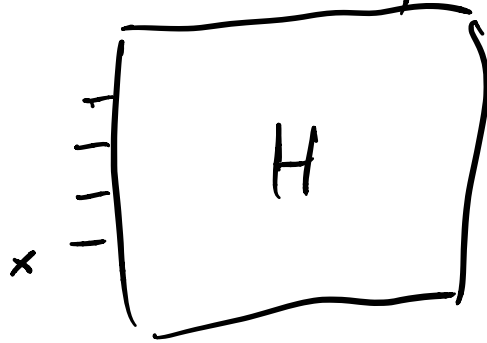
- Limits of Untouched Minor method
- Upper bound on rigidity of super regular matrices
- *Upper bounds for ECCs*
- Upper bound for Hadamard

# LIMITATIONS

- Limits of Untouched Minor method
- Upper bound on rigidity of super regular matrices
- Upper bound for Hadamard
- Upper bound for  $M(x, y) = f(x + y)$



Hadamard  $H \in \mathbb{R}^{2^n \times 2^n}$



$x \in \{0, 1\}^n$        $y \in \{0, 1\}^n$        $H_{x,y} = (-1)^{\langle x, y \rangle}$

$$= (-1)^{\sum_{i=1}^n x_i y_i} = (-1)^{\|x\|_0/2} (-1)^{\|y\|_0/2} (-1)^{\|x \oplus y\|_0/2}$$

If you multiply every row by  $(-1)^{\|x\|_0/2}$   
every col by  $(-1)^{\|y\|_0/2}$

Rigidity stays the same, but you  
normalize rows

$$\tilde{H}_{x,y} = (-1)^{\|x \oplus y\|_0/2}$$

$$F: \mathbb{F}_2^n \rightarrow \mathbb{R}$$

$$\tilde{H}_{x,y} = F(x \oplus y)$$

$$F(z) = (-1)^{\|z\|_0/2}$$

$x \oplus y \text{ over } \mathbb{F}_2^n$

$$x \quad \boxed{(00101001)}$$

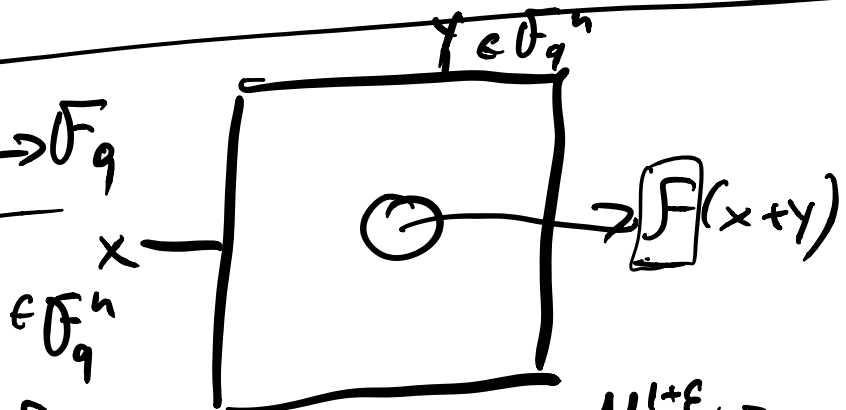
$$y \quad \boxed{(10100010)}$$

$$H_{x,y} = (-1)^{\langle x,y \rangle} = (-1)^{(x^2 + y^2 + (x \oplus y)^2)/2} =$$

$$= (-1)^{\|x\|_0^2/2} \cdot (-1)^{\|y\|_0^2/2} \cdot (-1)^{\|x \oplus y\|_0^2/2} =$$

$$= (-1)^{\|x\|_0/2} \cdot (-1)^{\|y\|_0/2} \cdot (-1)^{\|x \oplus y\|_0/2}$$

Any  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$



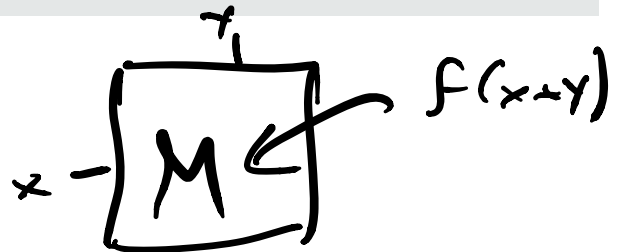
Non-rigid: if you want rigidity  $N^{1+\epsilon} \Rightarrow$   
then you have it only for  $N^{\epsilon}$ .

# MAIN RESULT

## Theorem (DE17)

Let  $\mathbb{F}_q$  be a fixed finite field, and let  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  be an arbitrary function. Let  $M \in \mathbb{F}_q^{N \times N}$  for  $N = q^n$  be the matrix where the  $(x, y)$  entry of  $M$  equals  $f(x + y)$  for every  $x, y \in \mathbb{F}_q^n$ .

For any  $\varepsilon > 0$ , there exists  $\varepsilon' > 0$  such that  $\mathcal{R}_M^{\mathbb{F}_q}(N^{1-\varepsilon'}) \leq N^{1+\varepsilon}$  for every large enough  $n$ .





# PROOF OUTLINE

over  $\mathbb{F}_2$   $x^2 = x$   
over  $\mathbb{F}_p$   $x^p = x$

## $f(z)$

- Step 1: Any  $n$ -variate function over  $\mathbb{F}_q$  can be approximated by a polynomial of degree

$$\frac{(1 - \delta)(q - 1)n}{\text{Max degree}}$$

Max degree

for some constant  $\delta$

$$(q-1) \cdot n$$

exactly

# PROOF OUTLINE

- Step 1: Any  $n$ -variate function over  $\mathbb{F}_q$  can be approximated by a polynomial of degree  $(1 - \delta)(q - 1)n$
- Step 2:  $P_{x,y} = p(x + y)$  for a polynomial  $p$  has rank upper bounded by the number of monomials of degree at most  $\deg(p)/2$

# PROOF OUTLINE

- Step 1: Any  $n$ -variate function over  $\mathbb{F}_q$  can be approximated by a polynomial of degree  $(1 - \delta)(q - 1)n$
- Step 2:  $\underline{P_{x,y}} = \underline{p(x+y)}$  for a polynomial  $p$  has rank upper bounded by the number of monomials of degree at most  $\deg(p)/2$
- Conclude:  $\overset{f(x+y)}{M}$  is close to  $\overset{\cdot}{P}$ ,  $\overset{\cdot}{P}$  has low-rank.  $\overset{\cdot}{M}$  is non-rigid

# MONOMIALS OVER FINITE FIELDS

$m_d(q, n)$  denotes the number of distinct  $n$ -variate monomial over  $\mathbb{F}_q$  of degree at most  $d$ .

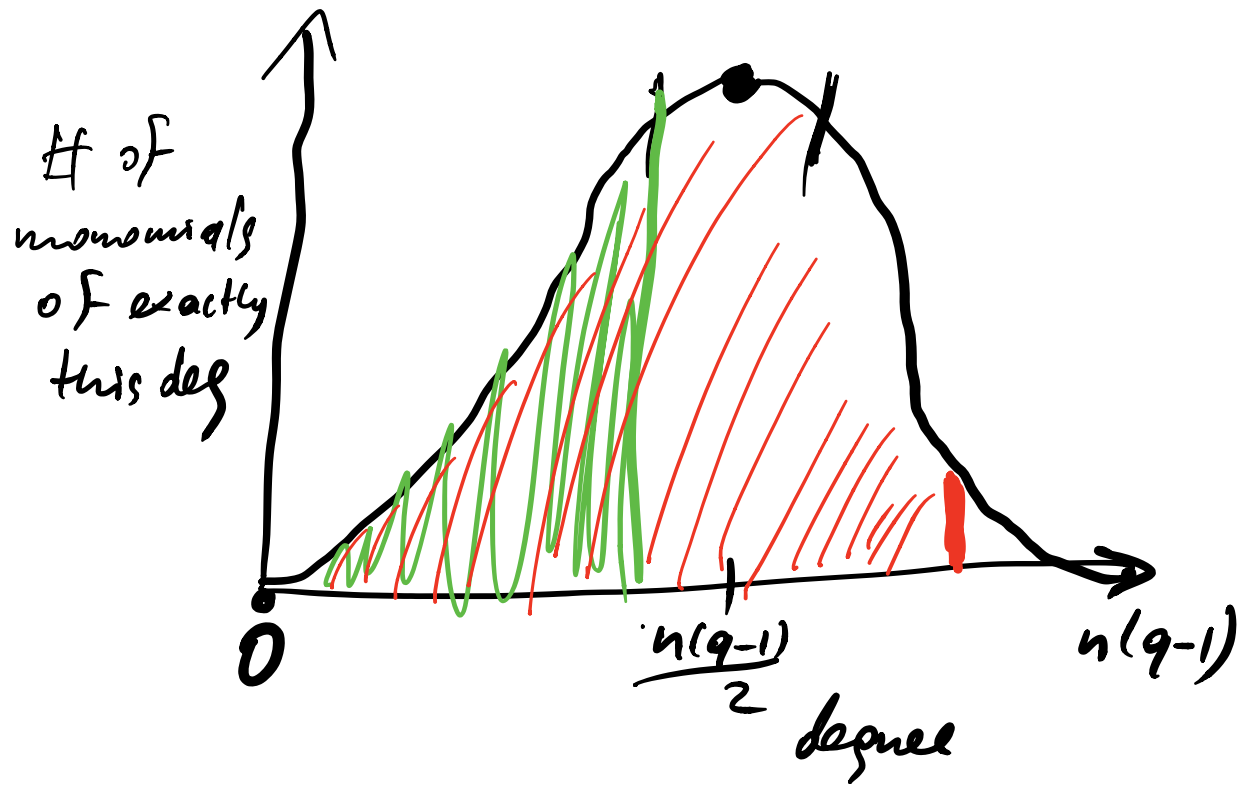
Every monomial wlog has  $x_i$  deg  
 $0, 1, 2, \dots, q-1$

Max degree:  $n \cdot (q-1)$ .

$$x_1^{\square} x_2^{\square} \dots x_n^{\square}$$

$$\square \in \{0, \dots, q-1\}$$

$$m_d(q, n) = \# \text{ monomials } \text{deg} \leq d$$



# of monomials  $q^n$

# MONOMIALS OVER FINITE FIELDS

$m_d(q, n)$  denotes the number of distinct  $n$ -variate monomial over  $\mathbb{F}_q$  of degree at most  $d$ .

## Proposition

For every  $\delta > 0$  there exists  $\varepsilon' > 0$  s.t.

$$m_{(1-\delta)\frac{(q-1)n}{2}}(q, n) \leq q^{(1-\varepsilon')n}$$

Chernoff: sample random monomial,  
av degree is  $\frac{(q-1)n}{2} \Rightarrow$

$$\Pr[\text{deg} < (1-\delta)\frac{(q-1)n}{2}] = e^{-\Omega(n\delta^2)}$$

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$$m_{(1-\delta)\frac{(q-1)n}{2}}(q, n) \leq q^{(1-\varepsilon')n}.$$

## Proposition

For any  $q \geq 2$  and  $\varepsilon > 0$ , there exists  $\delta > 0$  s.t.

$$m_{(1-\delta)(q-1)n}(q, n) \geq q^n - q^{\varepsilon n}.$$

✓✓

STEP 1  $M_{x,y} = f(x,y)$

Step 1: approximated by  
low-deg poly

Step 2: low-deg polynomial has  
low-var.

## Lemma

For any  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  and  $\varepsilon > 0$ , there exists  
 $\delta > 0$  and a polynomial  $p$  of degree at most

$(1 - \delta)(q - 1)n$  such that  $f$  and  $p$  disagree on  
at most  $q^{\varepsilon n}$  points.

$q^{\varepsilon n}$





By lin alg:  $\boxed{d'} \leq d$   
 any function of degree  $d$   
 can be turned into a fn of deg  $d'$   
 by changing.

$$\frac{m_d(u, q) - m_{d'}(u, q)}{\text{points.}}$$

Sketch  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$

$$N = \boxed{q^n} \quad \downarrow$$

$$\boxed{V_f} \in \mathbb{F}_q^N$$

$V_f$  - truth table of  $f$ .

$$f: \mathbb{F}_2^2 \rightarrow \mathbb{F}_2$$

$x$	$y$	$f(x, y)$
0	0	0
0	1	1
1	0	1
1	1	0

$V_f$ .

For original fn of deg  $d$ , it lives  
 in a space of dim  $\boxed{m_d(u, q)}$

Fns of deg  $d$  live a space of  
 dim  $m_d(n, q)$

$m_d(n, q) - m_{d'}(n, q)$  changes will  
 take you from the first space  
 to the second one  $\square$

$$F \quad d = (q-1)n$$

$\Downarrow$

$$P \quad d' = (1-d)(q-1)n$$

$F \neq P$  at

$$\boxed{m_{(q-1)n}(q, n)} -$$

$$- m_{(1-d)(q-1)n}(q, n) \text{ points}$$

$$= q^n - (q^n - q^{\epsilon n}) = q^{\epsilon n} \quad \square$$

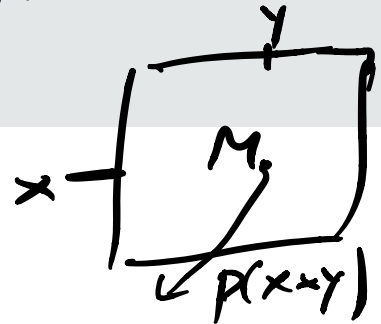
## STEP 2

$$\deg p = (1-d)(q-1)/2$$

### Lemma (CLP17)

Let  $p$  be an  $n$ -variate polynomial over  $\mathbb{F}_q$  of degree at most  $d$ , and  $M \in \mathbb{F}_q^{N \times N}$  for  $N = q^n$  be a matrix defined as  $M_{x,y} = p(x+y)$  for every  $x, y \in \mathbb{F}_q^n$ . Then

$$\text{rank}(M) \leq 2m \lfloor \frac{d}{2} \rfloor (q, n).$$

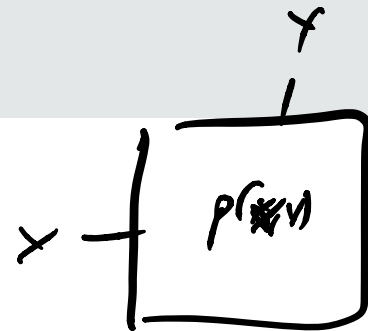


# LOW-DEGREE APPROXIMATIONS

## Lemma

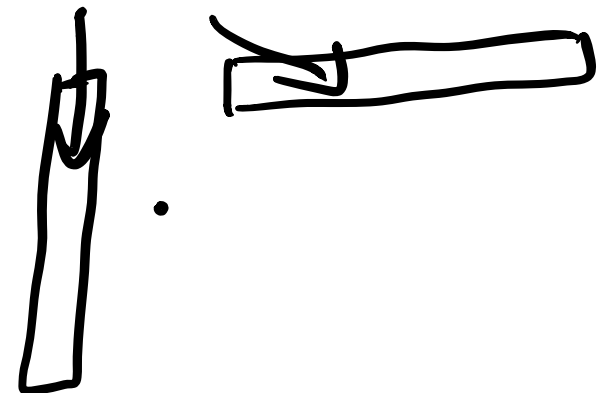
Let  $p(x, y)$  for  $x, y \in \mathbb{F}^n$  be a  $(2n)$ -variate polynomial with  $m$  monomials. Let  $P \in \mathbb{F}^{2^n \times 2^n}$  be a matrix defined as  $P_{x,y} = p(x, y)$  for every  $x, y \in \{0, 1\}^n$ .

$$\text{rank}(P) \leq m.$$



$$p(x, y) = \sum_{i \in [m]} c_i (x_1 x_3 x_9)(y_2 y_4)$$

$$P = \sum_{i \in [m]} c_i P_i$$

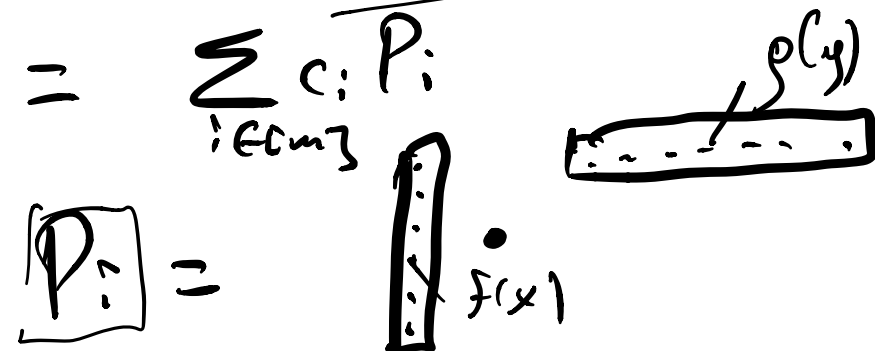
matrix  $P_i =$  

$$\text{rank}(P) \leq m.$$

Same holds if

$$p(x, y) = \sum_{i \in [m]} c_i \cdot f(x) \cdot g(y)$$

$$P = \sum_{i \in [m]} c_i P_i$$



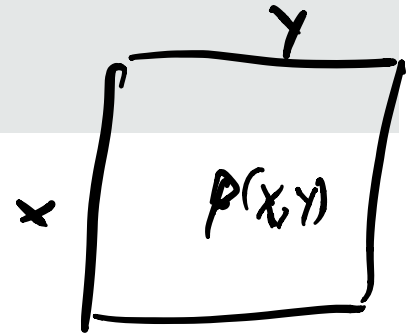
# SPARSE APPROXIMATIONS

## Lemma

Let  $p(x, y)$  for  $x, y \in \mathbb{F}^n$  be a  $(2n)$ -variate polynomial *that can be written as*

$p(x, y) = \sum_{i \in [m]} c_i f_i(x) g_i(y)$ . Let  $P \in \mathbb{F}^{2^n \times 2^n}$  be a matrix defined as  $P_{x,y} = p(x, y)$  for every  $x, y \in \{0, 1\}^n$ .

$$\text{rank}(P) \leq m.$$



### Lemma (CLP17)

Let  $p$  be an  $n$ -variate polynomial over  $\mathbb{F}_q$  of degree at most  $d$ , and  $M \in \mathbb{F}_q^{N \times N}$  for  $N = q^n$  be a matrix defined as  $M_{x,y} = p(x+y)$  for every  $x, y \in \mathbb{F}_q^n$ . Then

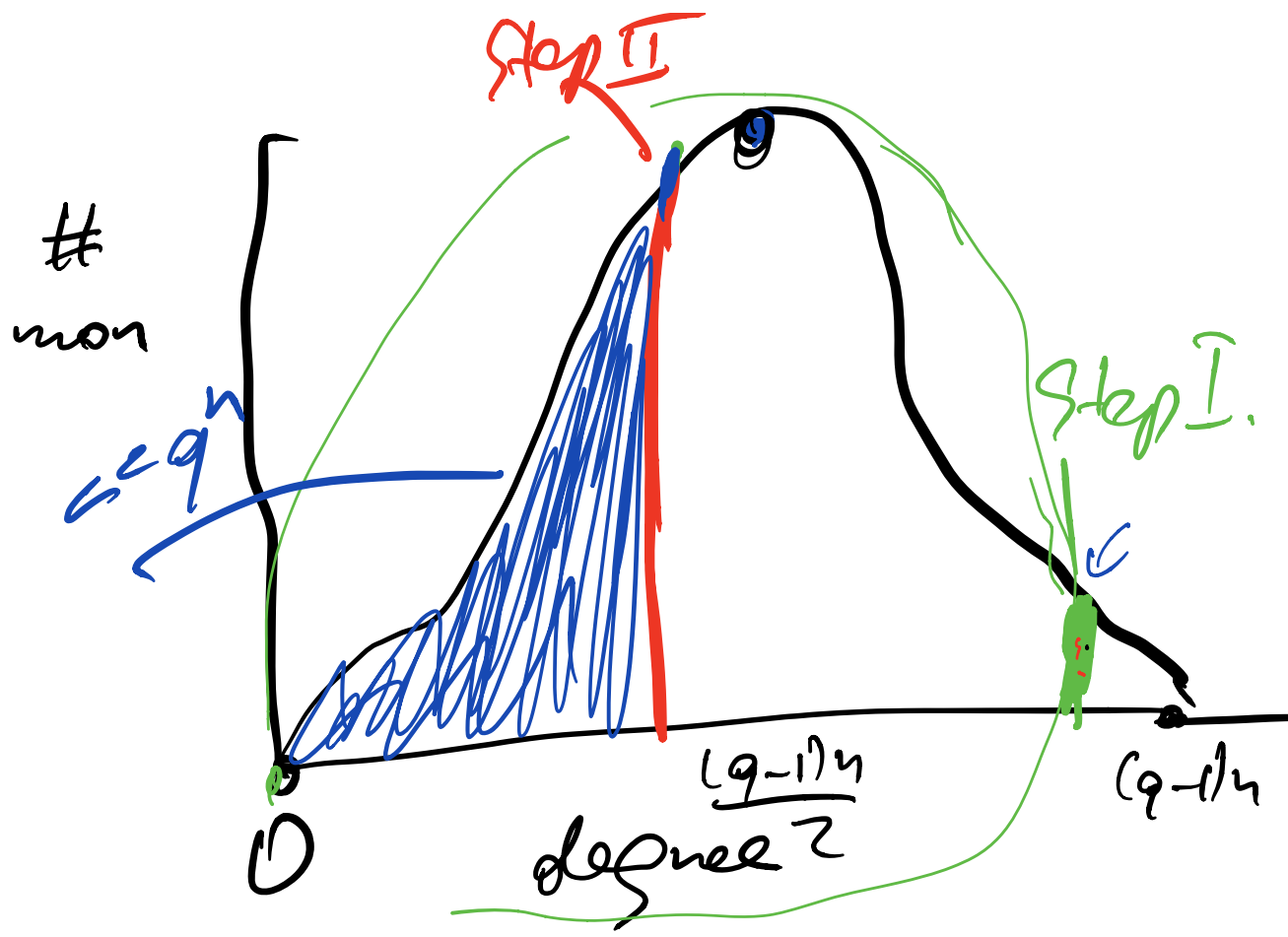
$$\text{rank}(M) \leq m_{\lfloor \frac{d}{2} \rfloor}(q, n) \ll q^n = N^{1-\epsilon}$$

Size of the matrix

$p(x+y)$  - poly of degree  $\leq d$   
 $\Rightarrow \leq m_d(q, n)$  monomials

$M = \sum m_d(q, n)$  matrices  
of rank 1

$$\Rightarrow \text{rank}(M) \leq m_{\underline{0}}(q, n)$$

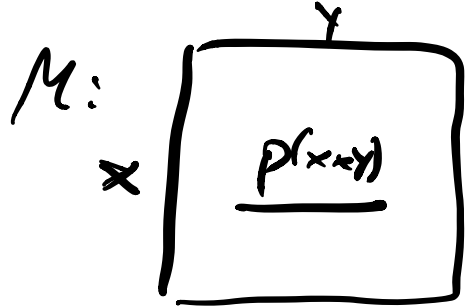




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$$\begin{aligned} x &= (x_1, \dots, x_n) \checkmark \\ y &= (y_1, \dots, y_n) \checkmark \\ z &= (z_1, \dots, z_n) \end{aligned}$$

$$\text{rank} \leq m_{\lfloor d/2 \rfloor}(q, n) \cdot 2$$

$$p(z) = \sum_{\alpha \in M_d(q, n)} z^\alpha \cdot c_\alpha$$

$$p(x+y) = \sum_{\alpha \in M_d(q, n)} c_\alpha \cdot (x+y)^\alpha$$

Every mon has  $y$ -deg +  $x$ -deg  $\leq d$ .

In particular, either  $x$ -deg or  $y$ -deg of each monomial  $\leq \lfloor d/2 \rfloor$

$$= \sum_{\beta \in M_{\lfloor d/2 \rfloor}(q, n)} c_\beta \cdot \boxed{x^\beta} \cdot \boxed{F_\beta(y)} +$$

some function

$$+ \sum_{\gamma \in M_{\lfloor d/2 \rfloor}(q, n)} c_\gamma \cdot \boxed{y^\gamma} \cdot \boxed{G_\gamma(x)}$$

u-L10L4U''

Total # of terms is  $2 \cdot n_{\lfloor d/2 \rfloor}(q, n)$

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$$P(z) = 3z^2$$

$$P(x=y) = 3(x=y)^2$$

rank  $P \leq \#$  of monomials of deg 2

Instead, rank  $\leq \#$  of monomials of deg 1

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$$P(x=y) = 3(\underline{x^2} + \underline{y^2} + \underline{2xy}) =$$

$$= \boxed{3x^2 + 2xy}$$

low y-degree

$$+ \boxed{3y^2}$$

low x-degree

$$= \boxed{y^0 \cdot 3x^2 + y^1 \cdot 2x}$$

$$+ \boxed{y^2 \cdot 3y^0}$$

# of monomials (in  $x, y$ )  
of degree  $\leq 1$

of deg  
up to 1

..... 9,

$0 \in \mathcal{M}(0, 2)$

## MAIN RESULT

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Let  $\mathbb{F}_q$  be a fixed finite field, and let  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  be an arbitrary function. Let  $M \in \mathbb{F}_q^{N \times N}$  for  $N = q^n$  be the matrix where the  $(x, y)$  entry of  $M$  equals  $f(x + y)$  for every  $x, y \in \mathbb{F}_q^n$ .

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