

MATRIX RIGIDITY

OVERVIEW OF PART I

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TOOLS USED IN PART I

PREVIOUS LECTURES

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$\Pr_{\text{Random obj}} [\text{obj is GOOD}] \Rightarrow \exists \text{ exists a GOOD obj.}$

- Probabilistic Method

PREVIOUS LECTURES

Alg is often as good as randomness,

- Probabilistic Method
- Algebraic Independence

PREVIOUS LECTURES

- Probabilistic Method
 - Algebraic Independence
 - Polynomial Method
1. N objects \Rightarrow
 N polys.
 2. These polys are
lin. ind.
 3. Dim of span
of these polys is
small \Rightarrow
 N is small

PREVIOUS LECTURES

- Probabilistic Method
- Algebraic Independence
- Polynomial Method
- Zarankiewicz Problem

To upper bound K
edges it often
suffices to say graph
doesn't contain
small cliques

PREVIOUS LECTURES

- Probabilistic Method
- Algebraic Independence
- Polynomial Method
- Zarankiewicz Problem
- Hölder's Inequality

$$\begin{array}{l} \text{From } L_p \text{ to } L_q \\ \sum x_i^p \leq \quad \Rightarrow \quad \sum x_i^q \leq \dots \end{array}$$

SIGN-RANK

Let $A \in \{1, -1\}^{n \times n}$. Then $\text{signrk}(A)$ is the minimum rank of B s.t. $\text{sign}(b_{ij}) = a_{ij}$ for all $i, j \in [n]$.

$$B \in \mathbb{R}^{n \times n}$$

$$\text{sign}(b_{ij}) = a_{ij}$$

$$\text{signrk}(A) = \min_B \text{rk}(B)$$

$$A = \begin{bmatrix} 1 & -1 & -1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & 1 & 1 & -1 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$$\text{rk}(A) = n - 1$$

$$\text{signrk}(A) = 2$$

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Let $A \in \{1, -1\}^{n \times n}$. Then $\text{signrk}(A)$ is the minimum rank of B s.t. $\text{sign}(b_{ij}) = a_{ij}$ for all $i, j \in [n]$.

Theorem (Paturi, Simon)

Unbounded-error communication complexity of a problem is $\log(\text{signrk}(A))$ of its communication matrix A .

$CC(f)$ Alice & Bob *private randomness*
compute $f(x, y)$ w.p. $> \frac{1}{2}$ (say, $\frac{1}{2} + 2^{-n}$)

ZERO-PATTERNS

n polys of t vars

- A vector $\sigma \in \{0, 1\}^n$ is a zero-pattern of polynomials p_1, \dots, p_n of t variables if there exists $x \in \mathbb{R}^t$ s.t. $p_i(x) = 0$ iff $\sigma_i = 0$

$n=2$ $t=1$

$$p_1 = x - 3$$

$$p_2 = x^2 + 9$$

Ex. $\sigma_1 = (0, 1)$ is a zero-pattern

$x=3 \Rightarrow p_1=0$
 $p_2 \neq 0$ (0, 1) - zero-pattern

Ex. $\sigma_2 = (1, 0)$ is a z. patt? •

No, it's not.

ZERO-PATTERNS

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- The number of zero-patterns is $\leq 2^n$

Theorem

For constant degree polynomials, the number of zero-patterns is $\lesssim \binom{n}{t} \ll 2^n$ (for small t)

ZERO-PATTERNS

- Non-rigid M :

$$\square = \text{sparse} + \begin{matrix} \text{low-} \\ \text{rank} \end{matrix}$$

ZERO-PATTERNS

- Non-rigid M :

$$\begin{aligned} \square &= \square_{\text{sparse}} + \square_{\text{low-rank}} \\ &= \square_{\text{sparse}} + \begin{matrix} \overset{R}{\square} \\ \times \overset{S}{\square} \end{matrix} \end{aligned}$$

The diagram illustrates the decomposition of a square matrix M into a sparse matrix and a low-rank matrix. The low-rank matrix is further decomposed into the product of two smaller matrices of sizes R and S .

ZERO-PATTERNS

- Non-rigid M :

$$\begin{aligned} \boxed{\text{square with a dot}} &= \boxed{\text{sparse}} + \boxed{\text{low-rank}} \\ &= \boxed{\text{sparse with a dot}} + \boxed{\text{vertical bar}} \times \boxed{\text{horizontal bar with a dot}} \end{aligned}$$

- Each (out of n^2) entries on the **left** is a degree-2 polynomial of the entries on the **right**

ZERO-PATTERNS

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- In particular, only a few $\{0, 1\}$ -matrices
- Therefore, a random $\{0, 1\}$ -matrix is rigid

SIGN-PATTERNS. EXAMPLE

Theorem

The number of *sign*-patterns of n constant-degree polynomials of t variables is (also) $\approx \binom{n}{t}$.

$G \in \{+1, -1\}^n$ is sign-pattern if $\exists x$

$$\begin{aligned} p_i(x) > 0 & \text{ if } G_i = +1 \\ p_i(x) < 0 & \text{ if } G_i = -1 \end{aligned}$$

$$\begin{aligned} G_2 = (+1, -1) \\ \text{is not a sign pattern} \\ x^2 - 1 < 0 \Rightarrow |x| < 1 \\ p_1 = x - 3 < 0 \end{aligned}$$

Ex.

$$\begin{aligned} p_1 &= x - 3 \\ p_2 &= x^2 - 1 \\ G_1 = (+1, +1) & \text{ is a sign p.} \\ x = 10 & \Rightarrow p_1 > 0 \quad p_2 > 0 \end{aligned}$$

SIGN-PATTERNS. EXAMPLE

Theorem

*The number of **sign**-patterns of n constant-degree polynomials of t variables is (also) $\lesssim \binom{n}{t}$.*

Theorem

*There exist matrices of high **sign**-rank. (There exist problems of high unbounded-error communication complexity.)*

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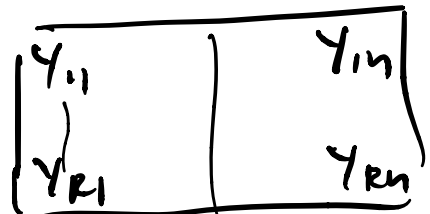
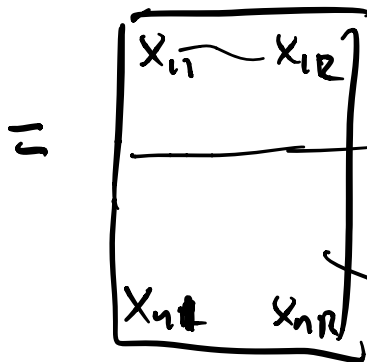
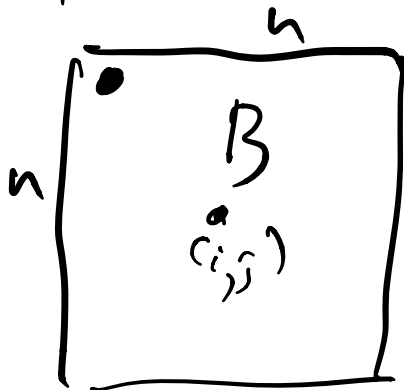
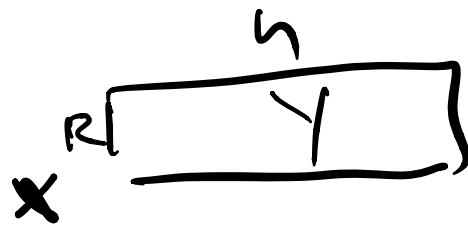
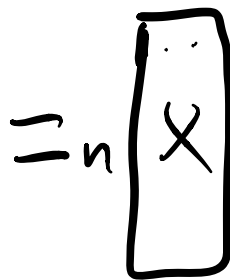
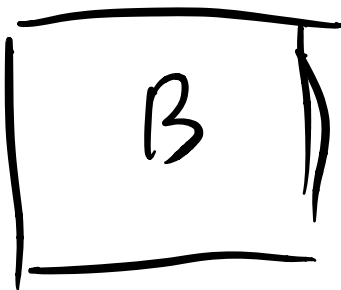
The number of sign-patterns of n constant-degree polynomials of t variables is also $\lesssim \binom{n}{t}$.

Pf. $A \in \{\pm 1\}^{n \times n}$

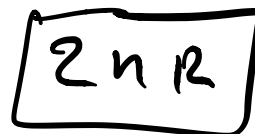
$\text{sign} \text{rank}(A) \leq R$

$\exists B \in \mathbb{R}^{n \times n} \quad \text{sign}(b_{ij}) = a_{ij}$

$\text{rk}(B) \leq R$



These are vars.



n^2 polys,
each poly is of deg 2.

IF $\text{signrk}(A) \leq R$

$\exists x_1, \dots, x_{nR}, y_1, \dots, y_{nR}$

s.t. $\text{rk}(B) \leq R$

fixed set of n^2 polys.

$\binom{n^2}{\# \text{vars}}$ diff $\{\pm 1\}^{n \times n}$ matrices

A s of low signrk
 $\leq \binom{n^2}{\# \text{vars}} = \binom{n^2}{2nR}$

$$R = \epsilon n$$

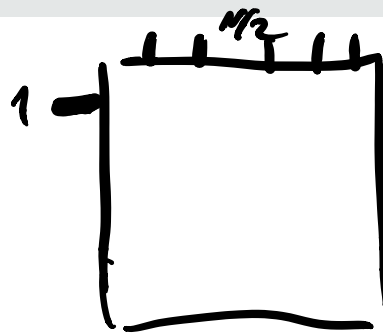
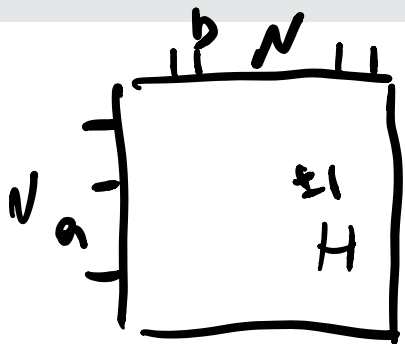
$$= \binom{n^2}{2\epsilon n^2} \leq 2^{n^2 H(2\epsilon)}$$

$$= 2^{n^2 \cdot \delta} \ll 2^{n^2} \quad \# \text{ of matrices}$$

Walsh-HADAMARD MATRIX. EXAMPLE

Lemma (Lindsey's Lemma)

For any submatrix $H' \in \mathbb{C}^{a \times b}$ of Hadamard $H \in \mathbb{C}^{N \times N}$, the absolute value of the sum of all entries in H' is at most \sqrt{abN} .



$$ab \geq \Omega(N)$$

HADAMARD MATRIX. EXAMPLE

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- Hadamard is **quasirandom**: for $ab \geq \Omega(N)$, every $a \times b$ submatrix has 49% – 51% of 1 and -1 .
Expl. because has some prop of random matrix

$$ab \geq 10000N \quad \sqrt{abN} = 10000$$



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- Implies circuit lower bounds, extractors, Ramsey graphs, discrepancy, ...

SPECTRAL METHODS

- We used relations between matrix norms to prove that for any $H' \in \mathbb{R}^{a \times b}$ submatrix of Hadamard H ,

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- The rank of this matrix is large, which implies rigidity of Hadamard

SPECTRAL METHODS. EXAMPLE

Theorem (Forster's Theorem)

For every $A \in \{-1, 1\}^{m \times n}$,

$$\text{signrk}(A) \geq \frac{\sqrt{mn}}{\|A\|_2}.$$

$$H_N \in \{\pm 1\}^{N \times N}$$

$$N = 2^n$$

$$\text{signrk} \geq \sqrt{N} = 2^{n/2}$$

$$CC = \log(\text{signrk}) = n/2$$

$$\begin{aligned} \text{signrk}(H) &\geq \frac{N}{\|H\|_2} = \\ &= \frac{N}{\lambda_1(H)} = \sqrt{N} \end{aligned}$$

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Corollary

$$\text{signrk}(H_N) \geq \sqrt{N}.$$

ERROR-CORRECTING CODES

A linear code C is a subspace of \mathbb{F}^n where every non-zero vector c has

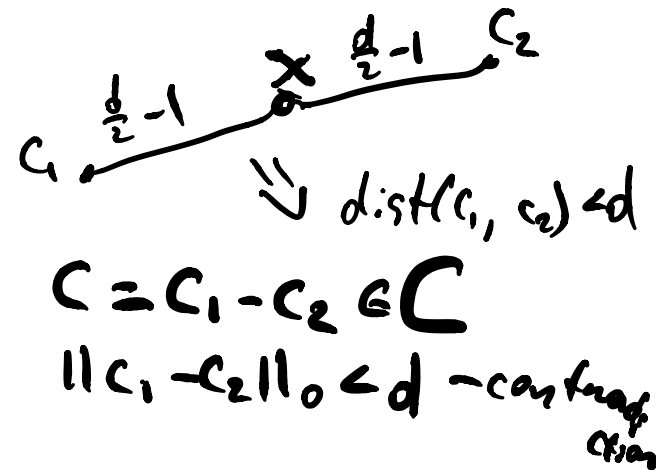
$$\|c\|_0 \geq d.$$

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In particular, for every $x \in \mathbb{F}^n$ there is at most one $c \in C$ s.t. $\|x - c\|_0 < d/2$.



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Proposition

For any finite field \mathbb{F} , there exists an explicit family of linear error correcting codes over \mathbb{F} of dimension $k = n/4$ and minimum distance $d = \delta n$ for a constant $\delta > 0$.

STATIC DATA STRUCTURES. EXAMPLES

- **Graph Distances:** Preprocess a road network in order to efficiently compute distances between cities
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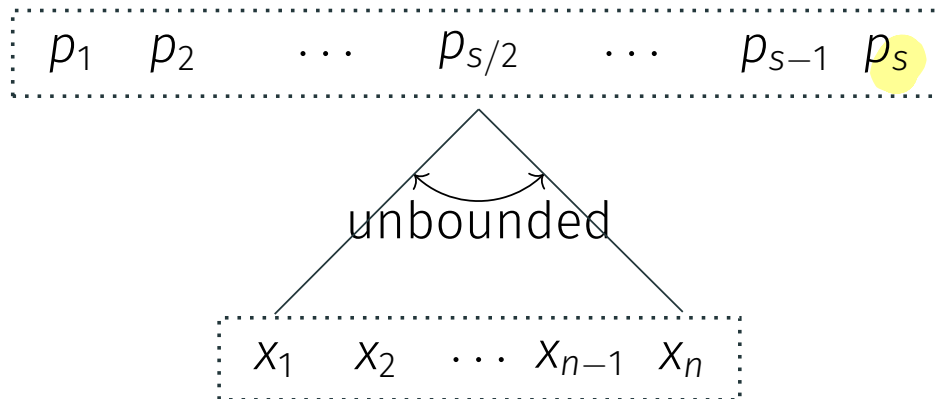
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(Google Maps)
- **Nearest Neighbors:** Preprocess a set of points in order to efficiently find closest point to a query point
(Netflix recommendations)
- **Range Counting:** Preprocess a set of points in order to efficiently compute the number of points in a given rectangle
(Amazon market size estimation)

STATIC DATA STRUCTURES. DEFINITION

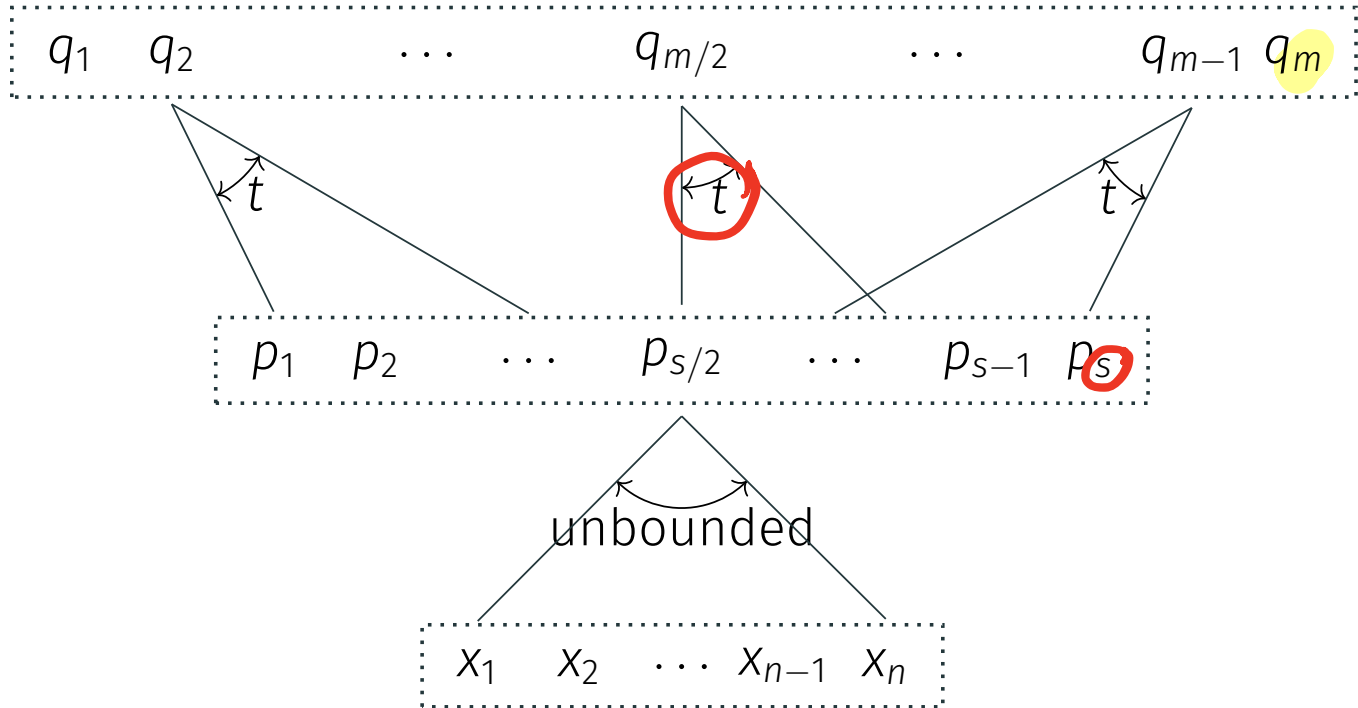
$x_1 \quad x_2 \quad \cdots \quad x_{n-1} \quad x_n$

STATIC DATA STRUCTURES. DEFINITION

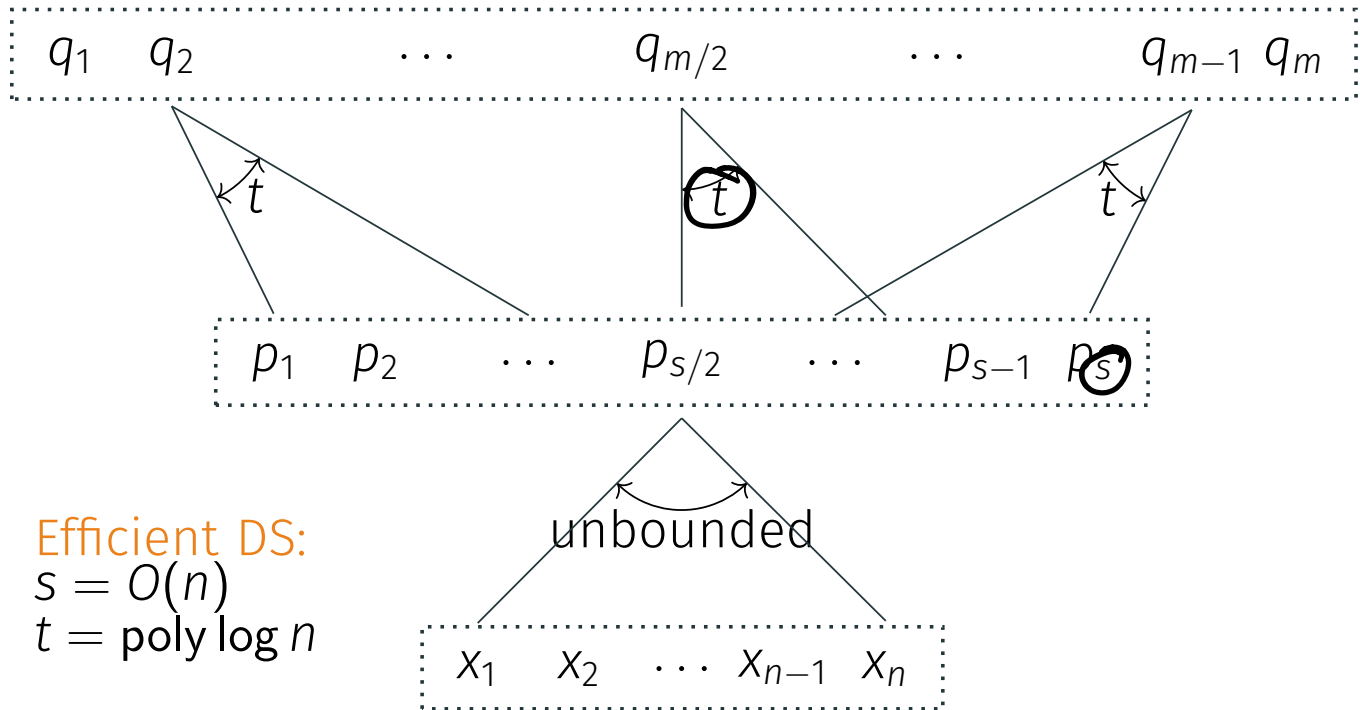


STATIC DATA STRUCTURES. DEFINITION

$$m = \text{poly}(n) = n^{100}$$



STATIC DATA STRUCTURES. DEFINITION

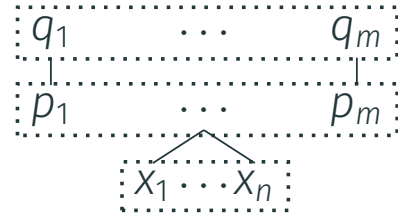


DS LOWER BOUNDS

- Two trivial solutions:

DS LOWER BOUNDS

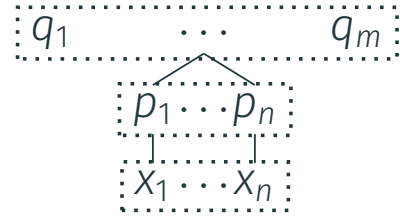
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DS LOWER BOUNDS

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- Best known concrete lower bound [Sie89]:

$$t \geq \Omega \left(\frac{\log m}{\log(s/n)} \right)$$

$m = \text{poly}(n)$
 $\log m \approx \log n$

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- $s = O(n) \implies t \geq \Omega(\log n)$
- $s = n^{1+\varepsilon} \implies t \geq \Omega(1)$

DS LOWER BOUND. PROOF

Theorem

Let $f: \mathbb{F}^n \rightarrow \mathbb{F}^m$ be a good error correcting code. Then for any data structure computing f , we have

$$t \geq \Omega \left(\frac{\log m}{\log(s/n)} \right) .$$

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code maps

$$\mathbb{F}^n \rightarrow \mathbb{F}^m$$

$$x = x_1 \dots x_n \in \mathbb{F}$$

DS problem:

$$x \rightarrow C(x)$$

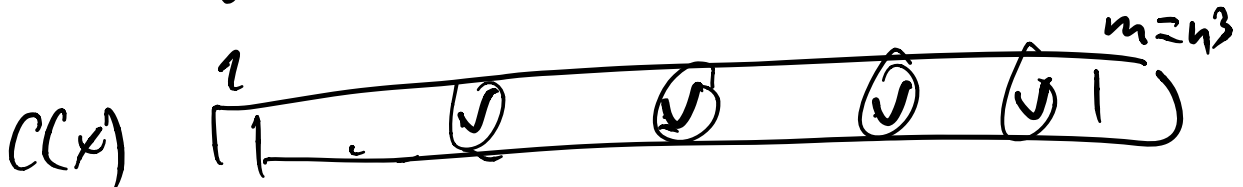


$$y = y_1 \dots y_m \in \mathbb{F}$$

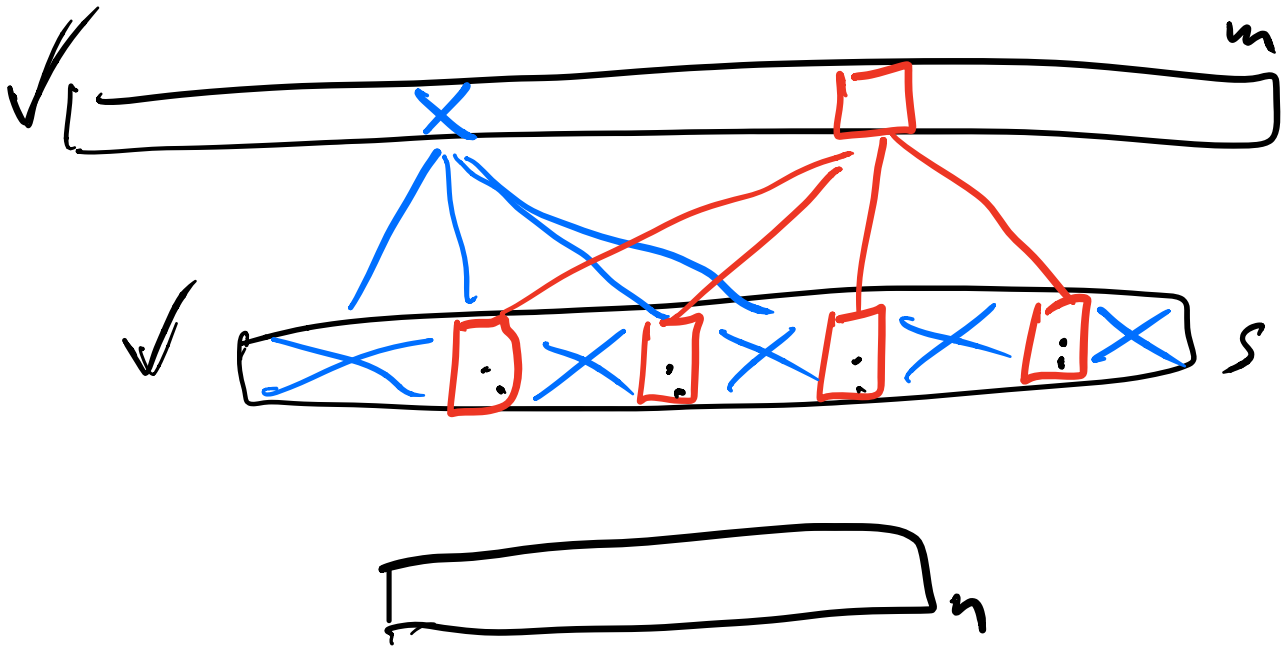
$$m = n^3$$

Good code: given $10n$ outputs (out of m), I can find decode x .

$$x \in \mathbb{F}^n \Rightarrow C(x) \in \mathbb{F}^m$$



10n values



Compute $10n$ outputs \Rightarrow compute input

Cell sampling (w. prob. p)

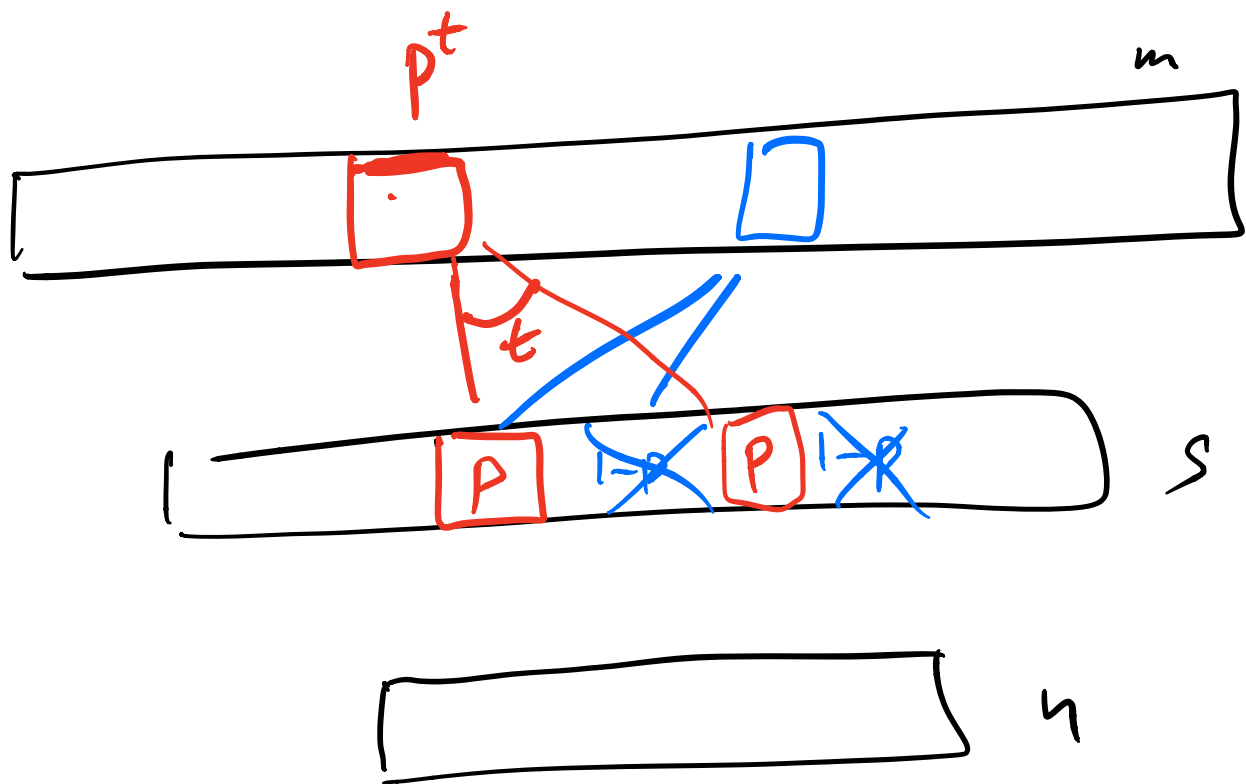
Plan: delete data

memory cells $< n$

outputs $> 10n$

$10n$ outputs \Rightarrow compute input

$< n$ memory cells encode input



$$p \cdot s < n \quad p < \frac{n}{s}$$

$m \cdot p^t$ outputs

$$m \cdot \left(\frac{n}{s}\right)^t \geq 10n \text{ outputs}$$

$$\left(\frac{n}{s}\right)^t \geq \frac{10n}{m}$$

$$t \leq \frac{\log\left(\frac{m}{n}\right)}{\log\left(\frac{s}{n}\right)}$$

If $t < \frac{\log(\frac{m}{n})}{\log(\frac{s}{n})}$, then

there exist $n-1$ memory cells

from which I always
compute $\geq 10n$ outputs.

From the code property \Rightarrow

From any $10n$ outputs I
can recover all n cells of
input

Contradiction: $n-1$ cells
encode n cells.

Thus, $t \geq \frac{\log(\frac{m}{n})}{\log(\frac{s}{n})}$